

Maryland State Board of Veterinary Medical Examiners FY22 Disciplinary Report



Introduction

The Maryland State Board of Veterinary Medical Examiners (Board) protects animal and public health through the enforcement of the Veterinary Practice Act and related regulations. Toward this end, the Board licenses veterinarians, registered veterinary technicians (RVTs), veterinary hospitals and animal control facilities. The Board also inspects veterinary hospitals to ensure they meet sanitation standards. A large component of the Board's work includes investigating consumer complaints, initiating its own investigations, and determining whether disciplinary action will be taken against any of its licenses as required by Agriculture Article, §2-301, et seq., Annotated Code of Maryland.

House Bill 549 - *State Board of Veterinary Medical Examiners - Sunset Extension and Program Evaluation* passed in 2020. The law requires the Board to report annually to the Governor and General Assembly on the disciplinary actions it has taken the previous fiscal year. This is the third report due under the law and covers FY22 (July 1, 2021 through June 30, 2022).

The Board

Board members are appointed by the Governor to 5-year terms. The seven-member Board includes five licensed veterinarians who have been in practice at least 5 years and two members who represent consumers. Two of the veterinarians must be predominantly large animal practitioners. The Board meets once a month.

Board members in FY22 were: Dr. Elizabeth Callahan, President, (Veterinary Medical Center, Easton); Dr. Christine Calvert (VCA Calvert Veterinary Center, Pasadena); Ms. Lynne Chaput (Consumer Member, Severna Park); Dr. Karena Joung (Veterinary Emergency Group); Ms. Patty Quimby (Consumer Member, Easton); Dr. Peter Radue (Damascus Equine, Damascus); and Dr. James Reed (Annapolis Animal Hospital, Annapolis).

During FY22, the Board licensed a total of 188 new veterinarians, and renewed 2,578 veterinarian licenses, compared to 2,999 last year. RVT licenses are valid for 3 years. A total of 75 RVTs were licensed for the first time in FY21, and 165 renewed their license during the year. Currently, there are 728 RVTs statewide. In addition, 589 veterinary hospitals and 30 animal control facilities were licensed during FY22.

About Complaint Investigations

Any consumer may submit a complaint against any licensee through the Board's website. The staff reads each complaint to ensure the Board has jurisdiction over the issue at hand. For instance, the Board has no jurisdiction over financial disputes between clients and veterinarians nor does it have any authority over rescue groups, commercial kennels, or humane organizations.

Once received, complaints are given a docket number and assigned to an investigator who will obtain all relevant medical records, including any available images, such as radiographs, ultrasound, MRIs, etc. and interview those involved. Some complaints may be dismissed without an investigation if they are a duplicate submission, or the Board does not have jurisdiction of the allegations. A final case file is prepared and presented to the Board during a non-public meeting for review, discussion, and final determination. From time-to-time, the Board will send a complaint to an out-of-state expert for review and input. After reviewing a complaint investigation file, the Board has several options for how to proceed.

• The Board may dismiss a complaint outright and no further action is required.



- The Board may take informal actions. These are confidential, non-public actions that are not available to the public, including the complainant, but are part of their professional record. These include:
 - o A Letter of Information/Warning
 - o A Letter of Advice
 - o A Letter of Admonishment
- The Board may take formal actions. These are public orders available for public review. Public orders are posted on the Board's website and reported to the American Association of Veterinary State Boards, which notifies other states or jurisdictions where the licensee is licensed, if any. These public actions include:
 - o Consent Agreements
 - o Civil Penalty Final Orders
 - o Orders of Censure
 - o Probation
 - o Suspension
 - o License Surrenders
 - o Revocation

Unless the Board issues a formal public disciplinary action, details of how a complaint is resolved are not available to the public, including the complainant; however, the Board does notify complaints when an investigation is complete and provides a summary of the findings.

Code of Maryland Regulations 15.14.02 contains a complete description of the Board's complaint procedures and possible actions.

Disciplinary Actions – FY22

The Board has one full-time investigator, one contractual investigator (30 hours/week) who was hired April 1, 2020, and one full-time administrative specialist dedicated to handling complaint investigations.

The Board received 40 more complaints in FY21 as it did the year before. Investigators completed – and Board members reviewed - 35 more cases than the year before: a total of 105 last year vs. 140 this year. On average, the Board reviewed almost nine complaint investigations per month.

The Board closed 140 complaint investigations with 89 actions. The number of actions does not match the number of complaints because several complaints involve more than one licensee.

The Board actions taken during the past 2 fiscal years were as follows:

Statistics	<u>FY20</u>	<u>FY21</u>	<u>FY22</u>
Complaints received during FY	89	88	128
Complaints closed during FY (includes complains from previous FYs)	82	105	140
Number of Board actions	101	138	161
Below are Non-Public Actions			
Number of Dismissals	23	59	72
Number of Letters of Advice	26	24	17
Number of Letters of Admonishment	14	23	13
Number of Letters of Information or Warning	7	12	15



Below are Public Board Actions			
Number of Consent Agreements	11	12	19
Number of Civil Penalty Final Orders	19	3	22
Number of Censure	1	2	1
Number of Surrenders	0	1	1
Number of Permanent Suspensions	0	2	1

Fines

The Board may assess civil penalties against a licensee as part of a Consent Agreement or as a final order. During FY22, the Board issued a total of \$40,700 in fines. Revenue from fines goes to the General Fund.

Referred Cases

During FY22, a total of 30 cases were referred to the Office of the Attorney General (OAG) for final resolution. Not all referred complaints conclude with public orders. Some final resolutions do not complete in the same fiscal year as they were referred.

	FY20	FY21	FY22
Total Referrals to the OAG	55	45	30
Total Public (Formal) Actions Completed	31	20	41
Total Referrals Resolved with Non-Public	8	6	5
Action			
Total Referrals Pending at Year End	16	19	13

At Year End (June 30, 2022)

The Board has a total of 78 open complaint cases. Of those, 13 were pending a final decision; 19 had been referred to the OAG; and 46 were still being investigated.

	FY20	FY21	FY22
Open Complaints at year end	92	78	62
Number in active investigation	65	46	39
Number Referred to OAG	16	19	13
Number pending final Board action at year end	11	13	10
Number of open complaints that are more than a year old	11	10 *	4

*All 10 open cases at the end of FY21 that were more than a year old were among the 13 cases that were pending a Board decision at year end. These were not open investigations, nor had they been referred to the OAG at this time.

A note about Investigation Metrics

Complaint investigations are considered "closed" when the Investigator's Report goes to the Board for a decision. It will be at least another month if it is dismissed and may be several more months before the complaint is actually closed if it is referred to the OAG for charges.



Last year, the Board adopted new metrics to track progress. These new metrics recognize that some complaint investigations are much more complicated than others and have to be evaluated differently. Below is a breakdown of those new metrics and the 2 year statistics

- **Green level complaints** are Board-initiated complaints that do not have another identified complainant. These are largely, but not exclusively, the result of inspection findings reported by inspectors. Our goal is to complete an investigation for 90% of green complaints within 3 months.
- Yellow level complaints involve obtaining medical records and/or other evidence from one or two veterinarians at one or two veterinary practices. Our goal is to complete investigations for 90% of yellow complaints within 12 months.
- **Red level complaints** involve one or more of the following: A Board-certified specialist or specialty practice; interviewing three or more veterinarians as part of an investigation; obtaining medical records and/or other evidence from three or more facilities; an outside expert review. Our goal is to complete investigations for 90% of red level cases within 24 months.

	FY20	FY21	FY22
Total Green Closed	28	8	34
Total Green Closed on Time	20	7	33
Percentage of Green closed w/in 90 days	71	88	97
Total Yellow Cases Closed	29	79	88
Total Yellow Cases Closed On Time	18	60	85
Percentage Yellow closed w/in 12 months	62	76	95
Total Red Cases Closed	36	18	21
Total Red Cases Closed On Time	25	18	21
Percentage Red closed w/in 24 months	69	100	100

Note: The number of cases closed does not equal the number of cases received because there is often more than one action (that is, more than one veterinarian/pet) involved in a single complaint.

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